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APPLICATION NUMBER: 60/139,316

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*S. Wallace*  
T. WALLACE

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A. PROV

06/15/99

Transmittal of Provisional Patent Application for Filing  
Certification under 37 CFR §1.10 (if applicable)

EM 416 978 444 US  
Express Mail Label Number

June 15, 1999  
Date of Deposit

I hereby certify that this Request, enclosed application and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR \$1.10 on the date above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Matthew D. Redlon  
(Print Name of Person Mailing Application)

(Signature of Person Mailing Application)

Request for Filing a Provisional Patent  
Application Under 37 CFR §1.53(c)

Box Provisional Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

HC541 U. S. PTO  
60/139316  
96/15/99

Sir:

This is a request for filing a Provisional Application for Patent under 37 CFR §1.53(c).

**1. Title of Invention:**

## Enzymatic Preparation of Phospholipids in Aqueous Media in the Absence of Detergents and Organic Solvents

**2. Inventor Applicant(s):**

<u>Last Name</u>	<u>First Name</u>	<u>MI</u>	<u>Residence</u>
Barenholz	Yechezkel	--	18 Nave Shanan Jerusalem 93707, Israel
Amselem	Shimon	--	Miriam Mizrachi 10 Street Rehovot 76551, Israel

**3. Correspondence Address:**

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
Telephone (650) 324-0880

4. Enclosed documents accompanying this transmittal sheet:

- Return Post card for USPTO Date Stamp       Certificate of Express Mail  
 Specification Number of pages: 17       Small Entity Statement:  
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**PROVISIONAL APPLICATION FILING ONLY**

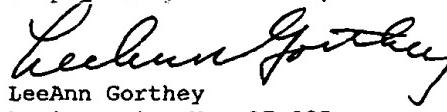
5. Government Interest

- This invention was made by an agency of the United States Government, or under contract with an agency of the United States Government. The name of the U.S. Government Agency and the Government contract number are: \_\_\_\_\_.

6. Method of Payment:

- A check in the amount of \$150.00 is enclosed to cover the Filing Fee. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account 04-0531.
- Please charge the Filing Fee (\$150.00) and any other fees necessary for timely filing of this application to Deposit Account 04-0531
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Respectfully submitted,

  
LeeAnn Gorthey  
Registration No. 37,337

Date: 6-15-99

Correspondence Address:

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-0880

Attorney Docket No.: <u>9325-0015</u>	<u>Provisional Application</u>
<u>Transmittal of Provisional Patent Application for Filing</u>	
Certification Under 37 C.F.R. §1.10 (if applicable)	
<u>EM 416 978 444 US</u> "Express Mail" Label Number	<u>June 15, 1999</u> Date of Deposit
<p>I hereby certify that this Transmittal letter, enclosed provisional application, and any other documents referred to as enclosed herein are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><u>Matthew D. Redlon</u> (Print Name of Person Mailing Application)</p> <p> (Signature of Person Mailing Application)</p>	

Enzymatic Preparation of Phospholipids in Aqueous Media  
in the Absence of Detergents and Organic Solvents

**Field of the Invention**

5      The present invention relates to method of conducting a phospholipase-catalyzed transesterification or hydrolysis of a phospholipid. The reaction is useful for the preparation of a variety of differently substituted phospholipids, as well as diacyl glycerols and ceramides. The method employs a water/solid particle interface, gives high conversions, and does not require the use of organic solvents, detergents or surfactants.

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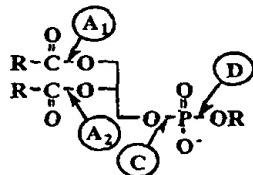
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20

**Background of the Invention**

Phospholipases A1, A2, C, and D enzymatically cleave the bonds in a diacyl phospholipid as illustrated below:



These enzymes have proven useful in the synthetic preparation of a wide variety of phospholipids, sphingolipids, and neutral lipids, and in particular the preparation of new, non-naturally occurring, or non-abundant lipids from more abundant source materials. Similarly, C- and D-type phospholipases which use sphingomyelin as a substrate (sphingomyelin phosphodiesterases), and various glycosidases which use glycolipids as substrates, can be utilized to prepare ceramides and other lipids.

In particular, phospholipase D has been used to convert phosphatidyl cholines (PC) to less common lipids such as phosphatidyl serines (PS) and phosphatidyl glycerols (PG). The reaction is a transesterification (also referred to as transphosphatidylation) between the starting material, PC, and a hydroxyl containing reagent such as glycerol or serine. The enzyme is especially useful in this respect in that it is able to produce the transesterified product in the presence of more than a stoichiometric amount of water (Servi). An organic solvents or detergent is usually required, as the lipid substrate is not water-soluble, and when lipid is presented to the enzyme in the form of liposome dispersion, the enzymatic activity is usually poor. Conventional methods for this reaction have generally employed a two-phase system containing water, in which the enzyme is soluble, and an organic solvent, in which the lipid is soluble, with the enzymatic reaction occurring at the interface between the water and the organic solvent.

The use of detergents or organic solvents is problematic in large scale reactions, and may be prohibited in the production of many pharmaceutical and food products. In addition, some amount of the hydrolysis product, phosphatidic acid (PA), is typically formed in such reactions, and methods are sought for increasing conversion to the transesterification product.

#### Summary of the Invention

The present invention includes, in one aspect, a method of conducting an enzyme-catalyzed transesterification or hydrolysis of a phospholipid or glycolipid. The method comprises dissolving the enzyme in an aqueous medium containing a liposomal suspension of the lipid and a hydroxyl-containing reagent, adding silica gel to the medium, and agitating the resulting mixture. For some enzymes, a divalent metal cation, such as  $\text{Ca}^{+2}$ ,  $\text{Zn}^{+2}$ , or  $\text{Mg}^{+2}$ , is required for reaction. The enzyme is preferably a phospholipase, such as phospholipase A1, A2, C, or D, or a sphingomyelin phosphodiesterase. In a preferred embodiment, the phospholipase is phospholipase D.

The method is particularly useful for reactions of phospholipids which make up naturally occurring phospholipid mixtures, such as phosphatidyl cholines, or for phospholipids isolated from tissue, e.g. a brain phospholipid extract. The hydroxyl-containing reagent can be water, in the case of a hydrolysis reaction; in preferred embodiments, the reagent is an alcohol or alcohol derivative, such as glycerol, serine, inositol, or hydroxy-terminated PEG (polyethylene glycol). Synthetic

phospholipids of various stereochemistries can be prepared by varying the stereochemistry of the hydroxyl-containing reagent, e.g. in the case of serine.

In the reaction, the silica gel is preferably added in an amount which is at least four times the amount of the lipid by weight, giving a silica gel/lipid ratio of at least 4:1, and more preferably an

5 amount which is at least ten times the amount of the lipid by weight, giving a silica gel/lipid ratio of at least 10:1. The silica gel preferably has a mean particle size no greater than 25 $\mu\text{m}$ , and more preferably no greater than 15 $\mu\text{m}$ .

In further preferred embodiments, the concentration of phospholipase in the medium is at least 3 mg/ml, and more preferably at least 7 mg/ml. When the enzyme is phospholipase D, the

10 concentration of calcium ion in the medium is preferably in the range of 5-100 mM.

These and other objects and features of the invention will be made more fully apparent in the following detailed description of the invention.

**Detailed Description of the Invention**

15 I. **Definitions**

The terms below have the following meanings unless indicated otherwise.

A "phospholipid" refers to an amphipathic lipid having one or two hydrophobic acyl chains and a phosphate-containing polar head group. The lipid may contain a chemically reactive group, such as an amine, acid, ester, aldehyde or alcohol, at the polar head group. Synthetic phospholipids may also have a chromophore or a fluorophore attached at various parts of the molecule.

The hydrocarbon chains are typically between about 2-26, and preferably about 14-22, carbon atoms in length, and commonly have varying degrees of unsaturation. The hydrocarbon chains may include branching or other modifications, e.g. cyclopropyl or cyclohexyl groups.

Representative examples of phospholipids are phosphatidyl choline (PC), phosphatidyl  
25 ethanolamine (PE), phosphatidic acid (PA), phosphatidyl inositol (PI), phosphatidyl glycerol (PG), and sphingomyelin (N-acyl sphingosylphosphocholine, a sphingolipid). The backbone in sphingolipids is derived from sphingosine, rather than from glycerol. In sphingomyelin, the amino group of sphingosine is linked to a fatty acid chain via an amide bond, and the primary hydroxyl group is esterified to phosphoryl choline.

30 An unusual type of phospholipid is found in the membranes of archaea, a type of bacteria. The cell membranes of these organisms are composed of phytanyl lipids, rather than fatty acids. The phytanyl (3,7,11,15-tetramethylhexadecyl) lipids are joined to glycerol to form lipids by an ether rather than an ester bond.

The name of a particular lipid includes the specific acyl groups, e.g. dimyristoyl phosphatidyl choline (DMPC) or N-palmitoyl sphingomyelin. Naturally occurring lipid mixtures are common, and these include the lecithins, such as soy lecithin or egg lecithin, which are mixtures of phosphatidyl cholines having various acyl chains. Such mixtures may be used in the present reaction, as well as 5 partially purified tissue extracts.

A "phospholipase" is an enzyme that cleaves (by hydrolysis or transesterification) ester bonds in phospholipids. The two general types are aliphatic esterases (types A1, A2 and B), which release fatty acids from glycerol-based phospholipids, and phosphodiesterases (types C and D), which cleave phosphate ester bonds. Sphingomyelinases (sphingomyelin phosphodiesterases) may be similar or 10 identical to phospholipases which act on glycerophospholipids, as in many bacterial enzymes, or they may be specific for sphingolipids, as in eukaryotic enzymes. As used herein, the category of C- and D-type phospholipases includes sphingomyelin phosphodiesterases.

"Silica gel" refers to a colloidal, highly adsorbent form of silicon dioxide or a salt (silicate) thereof. The term as used herein covers commercial silica gels used for chromatography, preferably 15 TLC grade, which may comprises silicic acid ( $\text{SiO}_2 \cdot n\text{H}_2\text{O}$ ) or a salt such as magnesium silicate (e.g. Florisil™).

An "alcohol derivative" is an organic alcohol ( $\text{ROH}$ , where R is aryl, alkyl or cycloalkyl, and preferably alkyl or cycloalkyl) where the group R may be further substituted with a group selected from, e.g., hydroxy, alkoxy, amino, alkylamino, carboxylic acid, carboxylic ester, keto, aldehyde, 20 nitro, cyano, imino, thio, alkylthio, sulfonic acid or ester, or phosphonic acid or ester. Preferred alcohol derivatives include alcohol-substituted amino acids, glycols, and sugars. Also preferred are hydroxy-terminated polyalkylene oxides, such as polyethylene oxide, having molecular weights in the range of about 300 to 40,000. In general, preferred compounds have at least 5% (w:w) aqueous solubility; however, less soluble alcohols or alcohol derivatives may also be used.

25

## II. Phospholipase-Catalyzed Reactions Employing a Solid Particle Interface

Certain types of solid particles can promote reaction of phospholipids with phospholipases by providing an interface between the lipids, which can adsorb to the particles, and the enzyme, which remains predominantly in the aqueous phase. The solid interface may also serve to activate the 30 enzyme. It is possible that immobilization of the enzyme and binding of substrate together on the particle surface results in a change in the conformation of the phospholipase and its conversion to an activated state.

As an example of this method, phospholipase D-catalyzed conversion of egg phosphatidyl choline (PC) to the corresponding phosphatidyl glycerol (PG) was carried out in an aqueous medium

using various solid adsorbents in place of the organic solvents (such as diethyl ether) used conventionally. General procedures for small scale reactions are as follows.

Reagents include acetate buffer (pH 5.6) containing 50-200 mM CaCl<sub>2</sub>, glycerol at the desired concentration (25-75% v/v), egg phosphatidylcholine (PC), and phospholipase D. The enzyme is obtained commercially or prepared as described in Example 1.

The substrate (PC) is dispersed in glycerol-acetate buffer, preferably as multilamellar vesicles (MLV), at a concentration of about 5 mg/ml. The MLV may be prepared by hydration of a dry lipid film, the more conventional method, or by reverse evaporation (REV) using a high lipid concentration in a solvent such as ether or a Freon®. In this technique, a nonaqueous solution of vesicle-forming

lipids is dispersed with a smaller volume of an aqueous medium to form a water-in-oil emulsion. After removal of the lipid solvent, the resulting gel is converted to liposomes.

Phospholipase D is added to the liposomal dispersion, and the mixture is vortexed until all the enzyme is in solution. The reaction is initiated by adding the solid adsorbent at room temperature, and shaking is commenced immediately.

15 The reaction is terminated by adding chloroform and methanol to give a final solvent ratio of 1:1:1 (chloroform:methanol:water). The phases are separated, e.g. by centrifugation, and the phospholipids are isolated from the lower chloroform phase. The products may be separated by preparative TLC chromatography on Unilatch silicic acid glass plates, using a solvent system of chloroform:acetone:methanol:acetic acid:water (6:8:2:2:1).

20 For preparation of products to be used in food or drugs, where the use of solvents such as chloroform is to be avoided, the lipids may be extracted from the reaction mixture by hexane, heptane, ethanol/hexane or ethanol/heptane mixtures, under either acidic or neutral conditions. If desired, Ca<sup>+2</sup> ions can be removed from the product lipids by a chelating agent such as EDTA, which will partition into the aqueous phase.

25 The level of conversion to phosphatidyl glycerol (PG) is determined by TLC followed by phosphorus content analysis, by using a refractive index or light scattering detector, or by the use of radioactively labeled glycerol, as described in Example 2. Amine lipid products may also be assayed colorimetrically by reaction with picryl sulfonate (TNBS).

Results of the reaction using various solid adsorbents, at a ratio of about 70 mg adsorbent per mg lipid, are given in Table 1. The Table shows conversions to PG and to PS in reactions of PC with glycerol and serine, respectively. It is clear that, among the adsorbents tested, silica gel was the most effective.

**Table 1 : Activation of Phospholipase D by Solid Adsorbents**

Adsorbent	% Conversion ( $\pm$ 5)	
	PG	PS
Silica gel (Kieselgel® 60 Merck)	90	65
Kieselguhr® (BDH)	70	50
Celite® (Koch-light)	40	-
Super-cel® (Amend)	20	-
None	0	0

In further experiments, various types and particle sizes of silica gel and other adsorbents were tested for their activity in the enzymatic conversion of PC to PG. Each reaction system contained 10 mg PC dispersed in 0.5 ml acetate buffer (pH 5.60) containing 25% (vol) glycerol and 50 mM CaCl<sub>2</sub>. Cabbage phospholipase D (0.5 mg, Boehringer) was added and the reaction initiated by adding 0.2 grams adsorbent. The mixtures were shaken for 30 minutes at room temperature.

The results are shown in Table 2. As can be seen from this Table, the best conversions were obtained with TLC grade silicic acid (from Merck, Philadelphia, PA, and Camag, Muttenz, Switzerland) (50-60% conversion to PG) and with TLC magnesium silicate from Bio-Rad (Richmond, CA) (50% conversion).

A correlation between the particle size of the silicic acid and conversion was found, with smaller particle sizes giving higher yields. The best results in this series were obtained with silica gel 60H and HR, TLC Grade (Merck), which have the finest particles (particle size distribution 5-20 $\mu$ m, mean particle size 10-12  $\mu$ m). TLC grade magnesium silicate from Bio-Rad (Richmond, CA) also gave good conversion (particle size distribution 2-44  $\mu$ m). A much larger particle size magnesium silicate, used in column chromatography, gave only 20% conversion (Florisil® entry in Table 2).

Accordingly, preferred mean particle sizes of silica gel (silicic acid or magnesium silicate) for use in the reactions described herein would be less than 25  $\mu$ m, and preferably less than 15  $\mu$ m.

With aluminum oxide adsorbents (neutral, acidic or basic alumina) very low conversions were achieved (5-15% PG). When adsorbent materials such as Bio-Beads® SM-2 from Bio-Rad or Chelex® (chelating resin) from Sigma were used, no conversion was obtained.

**Table 2: Effect of Different Types and Sizes of Adsorbents on PC → PG Conversion**

	Adsorbent	PG ( $\pm 10\%$ )
Silicic Acid	Merck Silica gel H/HR, TLC grade (5-20 $\mu\text{m}$ )	60
	Merck Silica gel mesh <230 (>60 $\mu\text{m}$ )	50
	Merck Silica gel mesh 70-230 (60-210 $\mu\text{m}$ )	40
	Merck Silica gel extra pure mesh 70-230	40
	Merck Silica gel mesh 35-70 (210-500 $\mu\text{m}$ )	30
	Sigma, Silica gel, mesh 325 (ca. 40 $\mu\text{m}$ )	40
	Sigma, Silica gel, mesh 60-200 (75-250 $\mu\text{m}$ )	10
	CAMAG, Silica gel for TLC	50
	Bio-Rad, Bio-Sil® for TLC	40
	BDH, Silica gel, 6-20 mesh (>840 $\mu\text{m}$ )	5
Mg Silicate	BDH Sand	10
	Bio-Rad (2-44 $\mu\text{m}$ )	50
Aluminum oxide	Florisil® (column chromatography grade)	20
	Bio-Rad, neutral alumina	15
	Merck, neutral alumina	5
	Sigma, acidic alumina	5
Bio-Beads®	Basic alumina	0
	SM-2 Bio-Rad	0
Chelex®	chelating resin (Sigma)	0

It was also found that, for a given amount of enzyme, the percentage of transphosphatidylation increased with the amount of silica gel per milligram phospholipid, as shown in Table 3.

**Table 3: Effect of Amount of Silica Gel on Activation of Phospholipase D**

Mg adsorbent/mg phospholipid	% PC to PG Conversion ( $\pm 10\%$ )
20	20
40	60
60	90

Effect of varying the amount of silica gel was further investigated in the 500 mg scale reactions described below. Again, conversion increased with an increase in silica gel:l lipid ratio. Conversion appeared to level off at a ratio of approximately 10:1 (Table 4); however, the difference between the last two ratios tested (9/1 and 11/1) is not substantial. Reactions were also performed on a 20g scale at silica/PC ratios of 5 and 25; conversions obtained were 40% and 60%, respectively.

Based on these results, it appears that yields generally increase with silica gel/lipid ratio. Accordingly, ratios of at least 4/1, and more preferably at least 10/1, are preferred. In some cases,

such as shown in Table 4, conversion appeared to level off, but no adverse effects of increasing the silica gel/lipid ratio were observed in any case.

**Table 4: Effect of Amount of Silica Gel on 500mg Scale PC → PG Conversion**

Silica Gel/PC Ratio	PG Formation (% ± 10)
3.3	24
6.7	28
9	40
11	40

Reaction conditions: enzyme concentration = 7.5 mg/ml;  
buffer = 40 mM acetate, pH 5.6, containing 50%  
glycerol v/v 100 mM Ca<sup>++</sup>; reaction time = 20 hours, rt.

5

## II. Effect of Other Reaction Variables on Conversion

The effects of other parameters on the representative egg PC → PG reaction were also studied. These variables included enzyme concentration, glycerol concentration, pH, Ca<sup>++</sup> concentration, 10 liposome size, order of reagent addition, lipid composition, presence of antioxidants, enzyme source and preparation, and age of enzyme preparation.

Most of the reactions in these studies were carried out on a larger scale, using 500 mg to 5 g of lipid. The following procedure was used for the mid-scale reaction. Egg phosphatidylcholine (500 mg) was dissolved in chloroform, which was then evaporated by a stream of N<sub>2</sub>. The dried lipid was dispersed in 10 ml acetate buffer (40 mM, pH 5.6) containing 50% glycerol (v/v) and CaCl<sub>2</sub> (100 mM). Multilamellar vesicles (MLV) were formed by vigorous shaking of the suspension, using a Lab Line multi wrist shaker (speed setting =7) at room temperature, until all the lipid was incorporated in the liposomal dispersion. Alternatively, MLV can be prepared by reverse evaporation (REV), as described above.

20 Phospholipase D (lyophilized powder, 50 mg) was added to the liposomal dispersion and the mixture vortexed until all the enzyme was in solution. The reaction was initiated by adding silicic acid (3 grams, Kieselgel® 60 Merck) at room temperature, and shaking was commenced immediately. The reaction was terminated by adding chloroform and methanol to give a final solvent ratio of 1:1:1 chloroform:methanol:water. Two phases formed after vortexing and were separated by 25 centrifugation at 1000 g. The phospholipids were isolated from the lower chloroform phase by preparative TLC chromatography on Analtech silicic acid glass plates, using a solvent system of chloroform:acetone:methanol:acetic acid:water (6:8:2:2:1).

**A. Enzyme Concentration**

Table 5 shows the effect of varying enzyme concentration. The optimum concentration under these conditions was about 7 mg/ml. Above this concentration a plateau in the PG formation was obtained.

5

**Table 5. Effect of Enzyme Concentration of PG Formation**

Enzyme Concentration (mg/ml)	PG Formation (% $\pm$ 10)
0.5	21
1	31
3	36
7.5	42
15	40
15 (stepwise addition)	40

Reaction conditions: Buffer = 40 mM Acetate, pH 5.6, containing 50% glycerol v/v and 100 mM Ca<sup>++</sup>; lecithin/silica gel ratio = 0.15; reaction time = 20 hours; room temperature; reaction volume = 10 ml.

**B. Glycerol Concentration**

Effect of glycerol concentration is shown in Table 6. The product, PG, was formed in greater amounts and at a higher rate at lower glycerol concentrations (Table VI). It is possible that high glycerol concentrations increase the viscosity of the incubation medium, thus decreasing the rate of PG formation, or that the glycerol coats the silica gel. However, not unexpectedly, less of the hydrolysis product (PA) was formed at higher glycerol concentrations.

15

**Table 6: Effect of Glycerol Concentration on PG Formation**

Glycerol Concentration (% v/v)	PG Formation (% $\pm$ 10)	PA Formation (% $\pm$ 3)
25	70	10
50	40	7
75	15	4

Reaction conditions: enzyme concentration = 7.5 mg/ml; buffer = 40 mM acetate pH 5.6 100 mM Ca<sup>++</sup>; lecithin/silica gel ratio = 0.15; reaction time = 20 hours; room temperature

**C. Ca<sup>++</sup> Concentration; pH**

20

Based on the data in Table 7, the optimal Ca<sup>++</sup> concentration for the phospholipase D-catalyzed transphosphatidylation reaction under these conditions appeared to be about 50-100 mM. However,

some preparations worked well at  $\text{Ca}^{+2}$  concentrations of 5 mM or even lower. Other phospholipases may require different ions, such as  $\text{Mg}^{+2}$  (for C-type sphingomyelinases) or  $\text{Zn}^{+2}$ , and some have no ion requirement.

5

**Table 7: Effect of  $\text{Ca}^{+2}$  Concentration**

Incubation Buffer, mM $\text{CaCl}_2$	PG Formation (% $\pm$ 10)	PA Formation (% $\pm$ 3)
50	37	3
100	40	4
150	28	3
200	21	3

Reaction conditions: enzyme concentration = 5 mg/ml lecithin/silica gel ratio = 0.11; buffer = 40 mM Tris pH 8.5; reaction time = 20 hours; room temperature.

Similar PG conversions were obtained using Tris buffer (pH 8.5) and acetate buffer (pH 5.6). However, somewhat less PA was formed at pH 8.5 (Table 8).

10

**Table 8: Effect of pH**

Incubation Buffer	PG Formation (% $\pm$ 10)	PA Formation (% $\pm$ 3)
Acetate, pH 5.6	59	14
Tris, pH 7.5	38	10
Tris, pH 8.5	60	10

Reaction conditions: enzyme concentration = 7.5 mg/ml, lecithin/silica gel ratio = 0.15; reaction time = 20 hours; room temperature.

#### D. Source of Enzyme

The enzymatic activities of different batches of phospholipase D, prepared in-house from cabbage as described in Example 1, were compared to the activities of phospholipase D from various commercial sources. Cabbage phospholipase D from Boehringer Co. (Indianapolis, IN) showed a markedly superior activity to the in-house preparations and to phospholipase obtained from Sigma Co. (St. Louis, MO), giving similar yields of PG from PC with only one-fifth of the amount of enzyme (PC/enzyme = 25:1). Phosphatidic acid (PA) was formed with all the enzymes (5-15% relative to total phospholipid).

In view of the superior enzymatic activity of the commercial phospholipase D from the Boehringer Co., additional purification of in-house cabbage phospholipase D preparation was carried out, following the procedure described by Allgyer and Wells. However, no increase in the transphosphatidylation specific activity of the in-house phospholipase was obtained.

25

**E. Age of Enzyme Preparation: Transferase/Hydrolase Activity**

It is generally observed that the transferase activity of phospholipase D is less stable than its hydrolase activity. Thus, the ratio of transferase/hydrolase activity of the enzyme tends to diminish with time after its preparation. This variation in transferase/hydrolase activity has been a source of  
5 irreproducibility from one preparation of enzyme to another. In reactions conducted by the authors using the biphasic ether/water system, phospholipase D from Savoy cabbage lost its transferase activity by at least 20% per month even upon storage at -20°C, and much more when stored at 4°C in solution.

The transphosphatidylation activity of some old preparations (2-5 years old) of phospholipase D  
10 from cabbage, stored at either -70°C or -20°C, was found to be negligible or absent in the above biphasic water/ether system, while the hydrolase activity was largely maintained. However, all of these preparations were able to convert PC to PG in the water/silica gel system. Similar results were obtained with phospholipase D preparations that were stored in aqueous solutions at 4°C. These were  
15 totally inactive in the ether/water biphasic system but retained their full activity with silica gel, giving PC to PG conversions of more than 80%.

Because the transferase activity of the enzyme in the conventional ether/water biphasic system decreases with time, the enzyme is typically added to the reaction stepwise. However, in the water/silica gel system, the enzyme appears to be stable throughout the reaction, and may be added either stepwise or all at once with no effect on PG formation.  
20

**F. Effect of Direct Adsorption of Lipid onto Adsorbent**

The formation of the liposomal suspension can be a time consuming process for a large-scale production. Therefore, the possibility of eliminating this step, by directly adsorbing the lipid from a chloroformic solution onto the silica powder, was examined. For this purpose, two 10 mg samples of  
25 PC were dissolved in 4 ml of CHCl<sub>3</sub> in round-bottomed flasks, and two amounts of silica gel 60 H (Merck), 0.1 and 1.0 grams, respectively, were added. The chloroform was evaporated under reduced pressure. Acetate buffer, glycerol, CaCl<sub>2</sub> and enzyme were added to the dry powder, and the reaction was carried out essentially as described above. The procedure was repeated using magnesium silicate.  
30

TLC chromatograms of samples taken after the reactions were terminated showed no production of PG in any case, indicating that this method of reaction is not viable, and suggesting that the reacting phospholipid must be dispersed in the buffer for the transphosphatidylation to take place.

G. Other Factors: Activation/Deactivation of Adsorbents; Liposome Size; Antioxidant

These factors were found to have little or no effect on conversion in the above-described reaction. To test the effect of adsorbent activation/deactivation, the two types of adsorbents giving the best results in the reaction, i.e. silicic acid 60 H (Merck) and magnesium silicate (Florisil®) (Bio-Rad), were submitted to heat activation (dehydration) and deactivation (hydration). The activation was performed by heating the adsorbents at 100°C overnight and the deactivation by adding 0.2 ml of water homogeneously to 200 mg of the adsorbent powder. Conversions obtained with these treated adsorbents were compared with non-treated adsorbents (Example 3). TLC chromatograms showed similar conversions in all the systems tested, in the range of 50-60% PG production. It can therefore be concluded that dehydration or hydration of the adsorbent does not affect conversion, so there is no need for pretreatment of the adsorbent before the reaction.

Based on the assumption that conversion may be limited by the exposure of substrate to enzyme, the influence of the liposome size on the efficiency of the transphosphatidylation reaction was examined, using MLV (approx. 1.5 µm diameter) and SUV (20-40 nm diameter). The liposomal dispersions were formed and the reactions carried out as described in Example 4. About 40% PG production was observed in both systems, indicating that substrate availability is not limited by vesicle size.

The peroxidation of phospholipids having polyunsaturated acyl chains can be inhibited by the use of antioxidants. It was found that presence of the antioxidant butylated hydroxytoluene (BHT) did not affect the activity of silica-gel activated phospholipase D in the transesterification reaction. The antioxidant afforded excellent protection against lipid peroxidation during the reaction, allowing the production of high quality PG.

**III. Further Scaleup**

Reaction at a 5 gram scale (using egg PC prepared from fresh eggs) gave similar results to those at 500 mg scale. The scale was then increased to 20 grams, using commercial phospholipid from the Asahi Co. (Japan). Using the preferred conditions described in Section II above, but reducing the amount of silica gel to a silica gel/lecithin ratio of 4.0, a conversion of approximately 70% PC to PG was obtained after 4 hours of reaction. Most of the conversion occurred during the first hour. The level of PA was very low (< 5%).

The reaction was also tested under industrial scale conditions using pilot plant reactors. A 100 gram scale process was carried out at the minipilot plant unit of the Casali Institute of Applied Chemistry, School of Applied Sciences, The Hebrew University, Jerusalem, as described in Example 5. The PG conversion was 40% at room temperature and 50% at 35°C. About 7% of PA was produced at room temperature, while at 35°C a higher level of PA (15%) was observed.

#### IV. Variation of Lipid Substrate

Saturated phospholipids may be more suitable than unsaturated phospholipids for certain drug delivery systems, due to their higher resistance to lipid peroxidation. Partially hydrogenated (PH) egg PC is a potential candidate to replace egg PC. Therefore, the transphosphatidylation of PC to PG was tested with a saturated lipid, dipalmitoyl PC (DPPC; Avanti Polar Lipids, Birmingham, AL) and with partially hydrogenated (PH) PC (iodine value 30; Asahi Chemical, Japan). Reactions were carried out as described in Example 6. TLC of the DPPC reaction showed 50% conversion to DPPG and no trace of PA. A yield of 77% PH-egg PG was obtained from PH-PC, with only traces of PA (< 5%) detected.

The results of these reactions and of additional substrates and hydroxyl-containing reagents are summarized in Table 9. The reaction gives good conversion for a variety of reagents and substrates, including tissue extracts. Phosphatidyl serine enrichment of a brain phospholipid extract (sixth row of Table 9) is described in more detail in Example 7.

**Table 9**

Lipid Substrate	Reagent	Product	Typical Conversion
Egg lecithin (PC)	Glycerol	PG	50-70%
Egg lecithin	Serine	PS	70-90%
Egg lecithin	Water	PA	70-100%
Egg lecithin	Myo-inositol	PI	60-80%
Phosphatidyl ethanolamine	Glycerol	PG	15-40%
Brain phospholipid extract	Serine	PS enrichment	80%
Dioleyl PC	Glycerol	Dioleyl PG	50-70%
Dipalmitoyl PC	Glycerol	Dipalmitoyl PG	50%
Partially hydrogenated egg PC	Glycerol	PH-PG	75-80%

#### V. Reaction of Other Phospholipases

The biphasic water/silica gel method was employed for reaction of other phospholipases (C and A2) in selective hydrolysis of egg PC. Reactions were done on a 10 g scale, using Merck silica gel, 70-230 mesh. Other conditions and conversions (after 4 hrs at room temperature) are given in Table 10. Reaction with phospholipase C, under similar conditions, was also used to prepare ceramide from sphingomyelin (data not shown).

**Table 10**

Phospholipase	Source of enzyme	Medium	Product	Typical Conversion
C	Clostridium welchii (Sigma)	Tris buffer (40mM, pH 7.6) 20 mM Ca <sup>+2</sup>	Diacyl glycerol	100%
C	Bacillus cereus (Makor)	"	"	70-90%
A2	Snake venom Naja-Naja (Sigma)	Acetate buffer (40mM, pH 5.6) 100 mM Ca <sup>+2</sup>	Lyso-lecithin	100%
A2	Crotalus adamanteus (Sigma)	"	"	100%

5 EXAMPLES

The following examples illustrate but are not intended in any way to limit the invention.

Example 1. Isolation of Phospholipase D from Cabbage

A. Standard Preparation

10 Phospholipase D was prepared from Savoy cabbage according to the procedure of Yang. A crude extract was first prepared by homogenizing 4250 kg of light-green leaves of fresh Savoy cabbage with distilled water in a Waring blender. The fibrous material was removed by filtering through a gauze. The final volume of the cabbage juice (3750 liters) was then centrifuged at 500 g for 5 minutes at 4°C. The extract was heated to 55°C, maintained at this temperature for 5 minutes, 15 and then rapidly cooled. The bulky precipitate was removed by centrifugation under the same conditions and discarded.

Two volumes of acetone were added to the supernatant at -15°C, and the mixture was shaken immediately and stored overnight at 4°C. The acetone was evaporated and the aqueous suspension was centrifuged. The supernatant was discarded and the precipitate lyophilized overnight under 15 millitorr vacuum. The enzyme, obtained as a light green powder (5.2 g), was stored frozen at -70°C until needed.

20 It was later found that the yield of enzyme powder could be improved by reducing the volume of water used for the homogenization of the cabbage leaves. The modified procedure yielded 2.6 grams of lyophilized protein per kilogram of cabbage.

**B. Simplified Preparation**

It was found that by replacing the slow speed centrifugation step ( $500\text{ g} \times 15\text{ min. at }4^\circ\text{C}$ ) of the above method with high speed centrifugation ( $15000\text{ g} \times 15\text{ min. at }4^\circ\text{C}$ ), the supernatant could be used as the enzyme preparation without further purification. The high speed centrifugation yields  
 5 reproducible enzyme preparations free of particulate and fibrous matter. No cabbage residual lipids were detected in the extract, using the method of Bligh and Dyer. The centrifugation step could be further optimized to fit industrial needs by using continuous flow centrifugation. Using this crude fresh enzyme, similar conversions of PC to PG were obtained at similar equivalents of protein weight per cabbage weight using the experimental conditions described above.

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**Example 2. Determination of Conversion**

Lipids are extracted from the incubation mixture by vortexing with a 1:1:1 mixture of chloroform:methanol:water and allowing the phases to separate. The upper aqueous-methanolic phase contains all the water soluble reagents, while the lower chloroformic phase contains the lipids (e.g.  
 15 15 PC, PG and phosphatidic acid (PA)). Aliquots of the lower phase are loaded on Analtech silicic acid thin layer on a glass plate for TLC. The phospholipids are separated (Fig. 1) using a solvent system of chloroform:acetone:methanol:acetic acid:water (6:8:2:2:1 v/v). The individual spots are scraped into phosphorous free test tubes, and the phosphorous content is determined using the perchloric acid based Bartlet procedure (see e.g. Barenholz *et al.*, 1993).

20 An alternative assay for assessing conversion, based on the use of a radioactive water soluble reagent, was developed during the course of this work. For example, tritiated glycerol or serine is used; i.e.  $^3\text{H}$ -glycerol (or serine) + PC  $\rightarrow$   $^3\text{H}$ -PG (or  $^3\text{H}$ -PS) + choline (+PA). The glycerol or serine partitions into the upper methanolic/aqueous phase in the biphasic solvent system of chloroform:methanol: water (8:4:3 or 1:1:1) during workup; all lipids partition into the chloroform  
 25 lower phase.  $^3\text{H}$ -PG or  $^3\text{H}$ -PS is determined by scintillation counting of the chloroform phase. This assay was tested under various conditions and proved to be simpler and much faster than thin layer chromatography. However, it does not determine the level of PA (undesired product).

A spectrophotometric method suitable for the determination of amine phospholipids (i.e. PS or PE) utilizes the reaction of the amine phospholipid with trinitro benzene sulfonate (TNBS) to form the  
 30 yellow trinitrophenyl derivative (i.e. TNP-PS). This product is determined spectrophotometrically in the chloroform enriched lower phase (Barenholz *et al.*, 1993) or by TLC (Amselem *et al.*, 1993).

**Example 3. Conversion of PC to PG using Activated or Deactivated Adsorbent**

Egg PC (10 mg) was dispersed in 0.5 ml of 50 mM acetate buffer (pH 5.6) containing 50 mM  
 35 CaCl<sub>2</sub> and 50% (vol) glycerol. Cabbage phospholipase D (Boehringer) was added (0.5 mg), and the

reaction was started by adding 200 mg of silicic acid or Mg-silicate as normal powder, activated or deactivated. The reaction mixtures were shaken at room temperature for 30 minutes. The reactions were terminated by adding 0.5 ml of distilled water and 2 ml of a CHCl<sub>3</sub>:methanol mixture (1:1). The lipids were extracted from the lower phase after centrifugation.

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Example 4. Reaction of PC in SUV and MLV

The reactions were carried out on a 5 gram (PC) scale. The lipid was dissolved in 100 ml CHCl<sub>3</sub>. Glass beads (100 grams) of 5 mm diameter were added to increase the surface area of the dried lipid film and to ensure a better dispersion of the lipid in the aqueous solution. The organic solvent was removed by a flash evaporator. Then 50 ml of the acetate buffer containing 50% glycerol was added, and the MLV were formed by shaking the mixture in a Lap-line multi-wrist shaker until an homogeneous liposomal dispersion was obtained. The SUV were prepared from these MLV by sonicating the MLV using a probe sonicator (350 heat systems, Ultrasonics Inc.) for 5 minutes. At the same time, 600 grams of cabbage were homogenized in a Waring blender with 250 ml acetate buffer, filtered through a gauze and centrifuged for 20 minutes at 4°C at 15,000 rpm. Fifty mL of this fresh crude supernatant were mixed with 25 grams glycerol and added to the MLV and to the SUV. The reactions were initiated by adding 25 grams silica gel 60 H, the mixture was shaken overnight at room temperature in the multi-wrist shaker.

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Example 5. Large Scale Conversion of PC to PG

MLV were prepared by the thin lipid film procedure. Egg phosphatidylcholine (100 grams) from Asahi Co. (Japan) was dissolved in 500 ml CHCl<sub>3</sub> in a 1 liter round bottomed flask. The antioxidant BHT (butylated hydroxytoluene) was added at a molar ratio of 1/1000. Glass beads (400 gram) were added, and the organic solvent was evaporated until dryness. Acetate buffer (pH 5.6 , 1 liter) containing 50% glycerol and 0.1 M CaCl<sub>2</sub> was added, and MLV were formed by vigorous shaking of the mixture for 1 hour with the aid of the multi-wrist shaker. The final volume of the MLV prepared was divided into two 0.5 liter portions. To each portion was added 250 ml additional acetate buffer, and the mixtures were introduced in two minireactors of 1.5 liter capacity. Fresh crude cabbage phospholipase D juice (250 ml), diluted 1:1 with glycerol to give a final concentration of 50% glycerol, was added to each minireactor. The temperatures in the two reactors were room temperature (20°C) and 35 ± 5°C, respectively. Silica gel 60 H (Merck) (250 g) was added to each reactor . Mixing of reagents in the reactors with mechanical shaking. The reactions were carried out under a nitrogen atmosphere. After 20 hours of reaction, the shaking was stopped, and 1 ml samples were taken from the bottom of each reactor. Each sample was extracted by adding 1 ml DDW and 2 ml of 1:1 CHCl<sub>3</sub>:methanol. The phases were separated by centrifugation and aliquots of the lower

phases were analyzed by TLC, eluting with CHCl<sub>3</sub>:acetone:acetic acid:H<sub>2</sub>O (6:8:2:2:1). The spots were scraped and extracted and the phospholipid content determined by the Bartlett procedure.

Example 6. Reaction of Saturated and Partially Hydrogenated Lipids

5 MLV were prepared, using 10 mg DPPC in 10 ml acetate buffer (pH 5.6) containing 50% glycerol and 100 mM CaCl<sub>2</sub> at 55°C (10°C above T<sub>m</sub>). Ten mL phospholipase D solution, prepared in house, were mixed with 5 grams glycerol and added to the DPPC-MLV. The reaction was started by adding 2.5 grams silica gel 60 H, and the mixture was shaken for one hour at room temperature.

10 PH-egg PC lipid (5 grams) was dried from chloroform solution on 100 gram glass beads (5 mm diameter). Acetate buffer (450 ml, containing glycerol and CaCl<sub>2</sub> as described above) was added, and MLV were formed by shaking the mixture vigorously for 1 hour with a Lab-line multi-wrist shaker. A solution of 20 mg of cabbage phospholipase D (Boehringer) dissolved in 5 ml acetate buffer was then added. The reaction was started by adding 125 grams silica gel 60 H (Merck), the mixture was shaken overnight at room temperature.

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Example 7: Phosphatidyl Serine Enrichment of Brain Phospholipid Extract

20 Bovine brain phospholipid extract containing 15 mole% PS, 43 mole% PC, and 34 mole% PE was used. MLV were prepared from the extract as described in example 5. L-Serine (powder) was added to the liposomal dispersion at a level close to saturation. Fresh crude cabbage juice (Example 1 simplified procedure) was used as the enzyme source. The reaction was carried out as described in Example 5. Analysis by the TNBS method and by the TLC method, described above, showed that the level of PS was increased from 15 mole% in the starting material to 51 mole% in the final product.

25

While the invention has been described with reference to specific methods and embodiments, it will be appreciated that various modifications may be made without departing from the invention.

**IT IS CLAIMED:**

1. A method of conducting an enzyme-catalyzed transesterification or hydrolysis of a phospholipid, comprising
  - 5 dissolving said enzyme in an aqueous medium containing (i) a liposomal suspension of said phospholipid, a (ii) hydroxyl-containing reagent selected from water, an alcohol or an alcohol derivative, and (iii), when required by the enzyme, a divalent metal cation,
    - 10 adding silica gel to said medium,
    - and agitating the resulting mixture.
- 10 2. The method of claim 1, wherein the enzyme is selected from the group consisting of phospholipase A1, phospholipase A2, phospholipase C, phospholipase D, and a sphingomyelin phosphodiesterase.
- 15 3. The method of claim 2, wherein the enzyme is selected from the group consisting of phospholipase A2, phospholipase C, and phospholipase D.
4. The method of claim 3, wherein the enzyme is phospholipase D.
- 20 5. The method of claim 4, wherein the divalent metal cation is calcium ion in concentration of about 5-100 mM.
6. The method of claim 1, wherein the hydroxyl-containing reagent is an alcohol or alcohol derivative.
- 25 7. The method of claim 6, wherein the hydroxyl-containing reagent is selected from the group consisting of glycerol, serine, and inositol.
8. The method of claim 6, wherein the hydroxyl-containing reagent is a hydroxy-terminated polyethylene glycol having a molecular weight between about 300 and 40,000.
- 30 9. The method of claim 1, wherein the silica gel is added in an amount which is at least four times the amount of the phospholipid by weight.
- 35 10. The method of claim 9, wherein the silica gel is added in an amount which is at least ten times the amount of the phospholipid by weight.

11. The method of claim 1, wherein the silica gel has a mean particle size no greater than  
25 $\mu$ m.

5        12. The method of claim 11, wherein the silica gel has a mean particle size no greater than  
15 $\mu$ m.

10      13. The method of claim 1, wherein the phospholipase is present in said medium at a  
concentration of at least 3 mg/ml.

14. The method of claim 13, wherein the phospholipase is present in said medium at a  
concentration of at least 7 mg/ml.

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ABSTRACT

Methods of conducting phospholipase-catalyzed transesterification or hydrolysis of phospholipids present in aqueous liposomal suspensions, in the absence of detergents and organic solvents, are  
5 described. The method, which employs a water/solid particle interface, gives high conversions, particularly when the solid particle is silica gel having a small particle size and is present at a level at least four times the weight of the reacting lipid. The reaction is useful for the preparation of a variety of differently substituted phospholipids, as well as diacyl glycerols and ceramides.

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